

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

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| Application Number | | |
| Filing Date | | |
| First Named Inventor | Nubuo Ichihashi | |
| Art Unit | | |
| Examiner Name | | |
| Attorney Docket Number | SHG-050P2 | |

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| | 1 | 5149647 | | 1992-09-22 | Burling | |
| | 2 | 5516675 | | 1996-05-14 | Uchida et al. | |

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| | 1 | JP5202098 | JP | | 1993-08-10 | Uchida et al. | | <input type="checkbox"/> |
| | 2 | JP3502921 | JP | | 1991-07-04 | Burling | | <input type="checkbox"/> |

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.J.H./

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|---|-----------|----|----|------------|---------|--------------------------|
| 3 | EP0556083 | EP | A1 | 1993-08-13 | Uchida | <input type="checkbox"/> |
| 4 | EP0390821 | EP | | 1990-10-10 | Burling | <input type="checkbox"/> |

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| | 1 | European Patent Office, Search Report and and Written Opinion in Application No. PCT/JP2005/002356, May 10, 2005, 9 pages | <input type="checkbox"/> |

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EXAMINER SIGNATURE

| | | | |
|--------------------|-----------------|-----------------|------------|
| Examiner Signature | /Lisa J. Hobbs/ | Date Considered | 09/19/2008 |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.J.H./

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| Attorney Docket Number | SHG-050P2 |

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| | | | |
|------------|-------------------|---------------------|------------|
| Signature | /Thomas W. Flynn/ | Date (YYYY-MM-DD) | 2006-07-21 |
| Name/Print | Thomas W. Flynn | Registration Number | 21097 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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/Thomas W. Flynn/

Thomas W. Flynn, Registration No. 21,097

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: Unknown
Filed: July 21, 2006
Art Unit: Unknown
Examiner: Unknown
Applicant(s): Nobuo Ichihashi et al.
Title: PROCESS FOR PRODUCING LACTOPEROXIDASE
Attorney Docket: SHG-050P2
Confirmation No.: Unknown

Cincinnati, Ohio 45202

July 21, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. Because the requirement (37 C.F.R. §1.98(a)(2)(i)) for submitting

copies of U.S. patents and published applications has been waived, copies of only the foreign cited references and/or other documents are enclosed herewith.

No representation is made that the cited art is the only art or that the cited art represents the best art.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

It is believed that a first Official Action has not yet been issued in this case and that no fees are due herefor. If any additional fees are necessary to complete this communication, please charge our Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By: /Thomas W. Flynn/
Thomas W. Flynn, Reg. No. 21,097

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